PTO/SB/05 (11-00)

e through 10/31/2002, OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

16869P025300 Attorney Docket No. First Inventor Yamamoto, Yasutomo, et al. INFORMATION PROCESSING SYSTEM Title

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EL265821093US Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning design patent application contents. 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🔯 [Total Pages a. Computer Readable Form (CRF) Specification (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Cross References to Related Applications ii.

paper number of pages Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix

- Background of the Invention **ACCOMPANYING APPLICATIONS PARTS** - Brief Summary of the Invention 9. 🖂 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R.§3.73(b)Statement ☐ Power of - Detailed Description (when there is an assignee) Attorney - Abstract of the Disclosure 11. English Translation Document (if applicable) 4. Drawing(s) (35 U.S.C.113) [Total Sheets 12 12. 🔲 Information Disclosure Copies of IDS 1 Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration/Power of Atty [Total Pages 4 13. **Preliminary Amendment** Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 14. 🔯 b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. T DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) Request and Certification under 35 U.S.C. 16. 🔲 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment. or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: __ Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or 🔲 Correspondence address below □ Customer Number or Bar Code Label 20350 (Insert Customer No. or Attach bar code label here) Name Address City State Zip Code Country Telephone Fax

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Registration No. (Attorney/Agent)

27,431

April 3, 2001

Date

Robert C. Colwell

Name (Print/Type)

Signature

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**or number previously paid, if greater; For Reissues, see above

Application Number		
Filing Date		
First Named Inventor	Yamamoto, Yasutomo, et. al.	
Examiner Name		
Group Art Unit		_

Complete if Known

TOTAL AMOUNT OF PAYMENT 16869P025300 (\$) 830 Attorney Docket No. METHOD OF PAYMENT FEE CALCULATION (continued) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge \boxtimes 1. indicated fees and credit any over payments to: Large Entity Small Entity Fee Deposit **Fee Description** Code (\$) Code (\$) Paid 20-1430 Account 105 130 205 65 Surcharge - late filing fee or oath Number 127 50 25 227 Surcharge - late provisional filing fee or cover sheet. Deposit 139 130 139 130 Non-English specification Townsend and Townsend and Crew LLP Account Name 147 2,520 147 2.520 For filing a request for reexamination Charge Any Additional Fee Required 112 920* 112 920* Requesting publication of SIR prior to Under 37 CFR 1.16 and 1.17 Examiner action Applicant claims small entity status. 113 1,840* 113 Requesting publication of SIR after See 37 CFR 1.27 Examiner action Payment Enclosed: П 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second ☐ Check □ Credit card □ Other ☐ Money Ordei 117 890 217 445 Extension for reply within third month **FEE CALCULATION** 118 1.390 218 695 Extension for reply within fourth **BASIC FILING FEE** month Large Entity Small **Entity** 128 1.890 228 945 Extension for reply within fifth month 119 310 219 155 Fee Fee Fee Description Notice of Appeal Code Code Fee Paid (\$) (\$) 120 310 220 155 Filing a brief in support of an appeal 101 710 201 355 Utility filing fee 710 121 270 221 135 Request for oral hearing 320 206 160 Design filing fee Petition to institute a public use 1,510 138 1.510 138 107 490 207 245 Plant filing fee proceeding 140 110 240 108 710 208 355 Reissue filing fee 55 Petition to revive - unavoidable 114 150 214 75 Provisional filing fee 141 1.240 241 620 Petition to revive - unintentional 142 1.240 242 620 Utility issue fee (or reissue) SUBTOTAL (1) (\$)710 143 440 243 220 Design issue fee 600 244 Plant issue fee 144 300 2. EXTRA CLAIM FEES 122 130 122 130 Petitions to the Commissioner Extra Fee from Fee Claims Petitions related to provisional below Paid 123 50 123 50 Total Claims -20*1 \$18 \$0 applications Independent Submission of Information Disclosure 126 180 126 180 -3** 4 1 \$80 = \$80 Recording each patent assignment Multiple 581 X 40 581 40 40 per property (times number of Dependent properties) Large Entity Small **Entity** 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) Fee Fee Description Code (\$) Code (\$) 149 710 249 355 For each additional invention to be 18 203 examined (37 CFR § 1.129(b)) Claims in excess of 20 102 40 80 202 Independent claims in excess of 3 179 710 279 355 Request for Continued Examination (RCE) 104 270 204 135 Multiple dependent claim, if not paid ** Reissue independent claims over 169 900 169 900 Request for expedited examination 109 80 209 40 of a design application original patent ** Reissue claims in excess of 20 and Other fee (specify) 110 18 210 over original patent The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. SUBTOTAL (2) (\$)80

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431	Telephone	650-326-2400	
Signature	(lerne C	Cohull		Date	April 3, 2001	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)40

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